



Attorney Docket No.: WD2-98-092

CHL-2759

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al. )

For: SYSTEM AND METHOD FOR )  
MAILING LIST TESTING )  
SERVICE )

Serial No.: 09/267,489 )

Filing Date: March 11, 1999 )

) Examiner:

Not Yet Assigned

) Group Art Unit: 2756

) Docket No.: WD2-98-092

TCS 700 MAIL ROOM

AUG - 9 1999

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

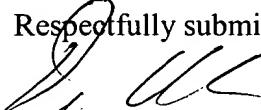
Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Revocation and Appointment of Power of Attorney;
2. Copy of Recorded Assignment document; and
3. Return Receipt Postcard.

The Commissioner is authorized to charge any further required fees, or to credit any overpayment, to Deposit Account No. 50-0271. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

  
Dean Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
Walker Digital Corporation  
One High Ridge Park  
Stamford, CT 06905

August 2, 1999

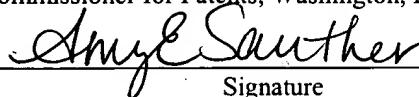
Date

**Certificate of Mailing**

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on August 2, 1999.

Amy E. Sauther

Name of Person Making Deposit

  
Signature

August 2, 1999

Date



Attorney Docket No.: WD2-98-092

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Jay S. Walker et al. )  
For: SYSTEM AND METHOD FOR ) Examiner: Not Yet Assigned  
MAILING LIST TESTING )  
SERVICE )  
Serial No.: 09/267,489 ) Group Art Unit: 2756  
Filing Date: March 11, 1999 ) Docket No.: WD2-98-092

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

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AUG 9 1999  
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**REVOCATION AND APPOINTMENT OF POWER OF ATTORNEY**

Sir:

Walker Digital Corporation, the sole assignee and owner of the entire right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney and hereby appoints Dean Alderucci (PTO Reg. No. 40,484), Patrick J. Buckley (PTO Reg. No. 40,928), Steven M. Santisi (PTO Reg. No. 40,157), and Kurt Maschoff (PTO Reg. No. 38,235) as attorneys of record, all of Walker Digital Corporation located at One High Ridge Park, Stamford, Connecticut 06905-1326, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please address all written correspondence to:

Walker Digital Corporation  
One High Ridge Park  
Stamford, CT 06905-1326  
Phone (203) 905-6500  
Fax (203) 595-8266

**Certificate Under 37 C.F.R. § 3.73(b)**

Walker Digital Corporation, a corporation organized and existing under the laws of the state of Connecticut, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. [X] An Assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the U.S. Patent and Trademark Office on March 11, 1999, at Reel 9830, Frame 0158, or for which a copy thereof is attached.

B. [ ] A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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4. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

C. [ ] Copies of the assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application identified above or any patent issuing thereon.

Walker Digital Corporation

Dated: 2-August-1999

By 

Jay S. Walker,  
President of Walker Digital Corporation,  
as General Partner of Walker Asset  
Management Limited Partnership



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

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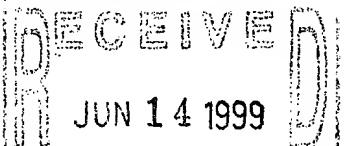
JUNE 05, 1999

PTAS

WALKER DIGITAL CORPORATION  
DEAN ALDERUCI  
INTELLECTUAL PROPERTY DEPARTMENT  
FIVE HIGH RIDGE PARK  
STAMFORD, CT 06905-1326



\*100990621A\*



**COPY**

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 03/11/1999

REEL/FRAME: 9830/0158  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
WALKER, JAY S.

DOC DATE: 03/05/1999

ASSIGNOR:  
MIK, MAGDALENA

DOC DATE: 03/05/1999

ASSIGNOR:  
TEDESCO, DANIEL E.

DOC DATE: 03/09/1999

ASSIGNOR:  
ALDERUCI, DEAN

DOC DATE: 03/09/1999

ASSIGNEE:  
WALKER DIGITAL ORPORATION  
FIVE HIGH RIDGE PARK  
STAMFORD, CONNECTICUT 06905-1326

SERIAL NUMBER: 09267489  
PATENT NUMBER:

FILING DATE: 03/11/1999  
ISSUE DATE:

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



03-24-1999

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

100990621  
RECORDATION FORM COVER SHEET  
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**Submission Type**

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 Correction of PTO Error  
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**Conveyance Type**

Assignment  Security Agreement  
 License  Change of Name  
 Merger  Other   
**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)  
 Departmental File  Secret File

**Conveying Party(ies)**

Name (line 1)  Jay S. WALKER  
Name (line 2)   
**Second Party**  
Name (line 1)  Magdalena MIK  
Name (line 2)

Mark if additional names of conveying parties attached

Execution Date  
Month Day Year  
3 - 5 - 99

Execution Date  
Month Day Year  
3 - 5 - 99

**Receiving Party**

Name (line 1)  Walker Digital Corporation  
Name (line 2)   
Address (line 1)  Five High Ridge Park  
Address (line 2)   
Address (line 3)  Stamford City  Connecticut/USA State/Country  Zip Code 06905-1326

Mark if additional names of receiving parties attached

If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
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**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name   
Address (line 1)   
Address (line 2)   
Address (line 3)   
Address (line 4)

03/24/1999 JSHABAZZ 00000017 500271 09267489

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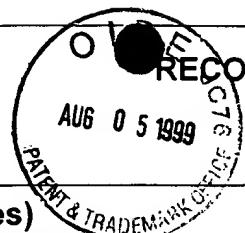
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Mail documents to be recorded with required cover sheet(s) information to:  
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Conveying Party(ies)

Enter additional Conveying Parties

Mark if additional names of conveying parties attached

Execution Date  
Month Day Year  
*3 - 9 - 99*

Name (line 1) Daniel E. TEDESCO

Name (line 2)

Name (line 1) Dean ALDERUCCI

Name (line 2)

Name (line 1)

Name (line 2)

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1)

If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic  
representative is attached.  
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Assignment.)

Name (line 2)

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Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

If document to be recorded  
is an assignment and the  
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States, an appointment  
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representative is attached.  
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separate document from  
Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/267,489

Correspondent Name and Address

Area Code and Telephone Number

(203) 705-3006

Name  Dean Alderucci

Address (line 1)  Walker Digital Corporation

Address (line 2)  Intellectual Property Department

Address (line 3)  Five High Ridge Park

Address (line 4)  Stamford, Connecticut 06905-1326

Pages Enter the total number of pages of the attached conveyance document  
including any attachments.

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Application Number(s) or Patent Number(s)

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Patent Application Number(s)

Patent Number(s)

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If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

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PCT  PCT  PCT

Number of Properties

Enter the total number of properties involved.

#  1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$  40.00

Method of Payment:

Enclosed  Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number: #  50-0271

Authorization to charge additional fees:

Yes  No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Dean Alderucci

Name of Person Signing



Signature

March 11, 1999

Date

## **Assignment of Rights, Title and Interest in Invention (Multiple inventors; single assignee)**

**Docket No.**

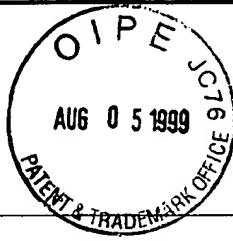
WD2-98-092

*This is an Assignment of the following rights, title and interest: (check all that apply):*

- United States of America rights, title and interest in the invention*

*Foreign rights, title and interest in the invention*

*United States Patent Application Serial No.*



*Date of Execution:* \_\_\_\_\_ *Date of Filing:* \_\_\_\_\_

- United States Provisional Patent Application Serial No.* \_\_\_\_\_

*United States Patent No(s).* \_\_\_\_\_

*International (PCT) Patent Application Serial No.* \_\_\_\_\_

*Other (specify)* \_\_\_\_\_

### *Title of the Invention*

# SYSTEM AND METHOD FOR MAILING LIST TESTING SERVICE

### **Inventors (assignors)**

*Assignee*

<i>Name</i>	<i>Address</i>
Walker Digital Corporation	Five High Ridge Park, Stamford, Connecticut 06905-1326

## **Assignment of Rights, Title and Interest in Invention (Multiple inventors; single assignee)**

Docket No.  
**WD2-98-092**

*Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");*

*And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;*

*Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;*

*We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;*

*And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;*

*And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.*

*Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)*

Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)

Docket No.

WD2-98-092

Notarization

(Although notarization is not necessary, it will be considered *prima facie* evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 5<sup>th</sup> day of March , in the year 1999  
at Stamford, Connecticut



(Signature of Inventor)

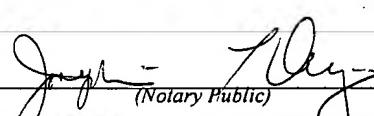
State of Connecticut

County of Fairfield

Before me personally appeared Jay S. WALKER  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this 5<sup>th</sup> day of MARCH , in the year 1999

NOTARY PUBLIC

MY COMMISSION EXPIRES JUNE 30, 2001



(Notary Public)

Executed this 5<sup>th</sup> day of March , in the year 1999  
at Stamford, Connecticut



(Signature of Inventor)

State of Connecticut

County of Fairfield

Before me personally appeared Magdalena MIK  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this 5<sup>th</sup> day of MARCH , in the year 1999

CHARLES A. RATTNER

NOTARY PUBLIC

MY COMMISSION EXPIRES JUNE 30, 2003



(Notary Public)

Executed this 9<sup>th</sup> day of March , in the year 1999  
at Stamford, Connecticut



(Signature of Inventor)

State of Connecticut

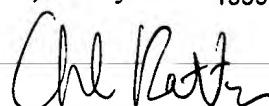
County of Fairfield

Before me personally appeared Daniel E. TEDESCO  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this 9<sup>th</sup> day of MARCH , in the year 1999

CHARLES A. RATTNER

NOTARY PUBLIC

MY COMMISSION EXPIRES JUNE 30, 2003



(Notary Public)

**Assignment of Rights, Title and Interest in Invention**  
**(Multiple inventors; single assignee)**

Docket No.

WD2-98-092

**Notarization**

(Although notarization is not necessary, it will be considered *prima facie* evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 9<sup>th</sup> day of March , in the year 1999  
at Stamford, Connecticut



(Signature of Inventor)

**State of** Connecticut

**County of** Fairfield

Before me personally appeared Dean ALDERUCCI  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this 9<sup>th</sup> day of March , in the year 1999

**CHARLES A. RATTNER**  
NOTARY PUBLIC  
N.Y. COMMISSION EXPIRES JUNE 30, 2003



(Notary Public)

Executed this day of , in the year  
at

(Signature of Inventor)

**State of**

**County of**

Before me personally appeared  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this day of , in the year

(Notary Public)

Executed this day of , in the year  
at

(Signature of Inventor)

**State of**

**County of**

Before me personally appeared  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this day of , in the year

(Notary Public)